#### Research Challenges in Large Scale Biometrics Systems <u>Test & Evaluation</u>



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## Categories

- Data, Data, Data
- Experimental Design & Methodology
- Analysis and Interpretation of Results

# Data, Data, Data

- Quantity
  - Statistically significant and operational relevant
  - Ground truthed identities
- Character
  - Representative Samples
    - Source, quality, unbiased?
  - Controlled Samples
    - Single factor, multi-factor, mixed effects?
    - Difficult to scale
- Development vs. Sequestered
  - Developers may not have operationally collected samples
  - Public releasable data often from a different source

#### Experimental Design & Methodology (1 of 3)

- Operations vs. Robustness
  - Performance on average vs. how far till it "breaks"?
  - Fundamentally different sample data populations
  - Expectations must be managed
    - Clearly communicated, designed, and reported
- Operational vs. Offline
  - Offline Testing can assess false rejects and false positives
  - Operational Testing cannot automatically assess misses
- Acceptance vs. Comparison
  - Evaluating within one system?
  - Comparing and contrasting across systems?

#### Experimental Design & Methodology (2 of 3)

- Methodology for Longitudinal Studies
  - Critically Relevant
    - Our biometric systems are "maturing"
  - Definition and Hypothesis Testing
    - pattern + behavior + environment?
  - Model Alternatives
    - Each with assumptions and limitations

#### Experimental Design & Methodology (3 of 3)

- Hardware vs. Software
  - Hardware
    - Pro: Exact compute platform/architecture
    - Con: Logistically complex and expensive
  - Software
    - Pro: More flexible and less expensive
    - Con: Common (non-optimized) hardware platform
- Open Testing API and Framework
  - Control and Specificity
    - For fair and unbiased comparisons
  - Abstraction
    - To not stifle ingenuity, innovation, and operational relevance
  - Specialized Computation & Functionality
    - Typical: create template, enroll subject, search gallery, ...
    - + Bulk enrollment management parallelized gallery CRUD tools
    - + Logging, auditing, and graceful error recovery

### Analysis and Interpretation of Results

- Measuring Uncertainty
  - Significance Tests and Confidence Intervals
  - Alternatives: Parametric vs. Bootstrap?
- Projecting Biometric Performance
  - Conduct <u>one</u> expensive well-designed large-scale one-tomany test
  - Characterize the sample population along with the resulting genuine and imposter distributions
  - Characterize a new sample population
  - Project/predict performance on the new sample population without conducting a new test